



**Patent Application**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of: John J. Giobbi

For: Digital Rights Management System and Method

BOX PATENT APPLICATION  
Assistant Commissioner  
for Patents  
Washington, D.C. 20231

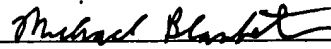
**CERTIFICATE OF MAILING BY EXPRESS MAIL**

"EXPRESS MAIL" Mailing Label No. EK506616315US

Date of Deposit: December 27, 2000

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Type or Print Name: Michael J. Blankstein

  
Signature

Sir:

**PATENT APPLICATION TRANSMITTAL LETTER**

Transmitted herewith for filing, please find the following:

- X Specification, claims and abstract of the above-referenced patent application (total of 15 pages)
- X 3 sheets of formal drawings.
- X Declaration (signed).
- X Information Disclosure Statement, PTO Form-1449, and References
- X Applicant is an individual and is claiming small entity status under 37 CFR 1.27.
- Other (specify): Acknowledgment postcard.

The filing fee has been calculated as shown below:

	<u>NO. FILED</u>	<u>NO. EXTRA</u>	<u>RATE</u>	<u>FEE</u>
<u>BASIC FEE</u>				<u>\$355</u>
<u>TOTAL CLAIMS</u>	<u>25-20</u>	<u>5</u>	<u>\$9</u>	<u>\$45</u>
<u>INDEPENDENT CLAIMS</u>	<u>5-3</u>	<u>2</u>	<u>\$40</u>	<u>\$80</u>
<u>MULTIPLE DEPENDENT CLAIM(S) PRESENTED</u>			<u>\$270</u>	
<u>TOTAL FEES:</u>				<u>\$480</u>
<u>Deduct One-Half for Small Entity Status</u>				
<u>Assignment Recordal Fee \$40</u>				<u>—</u>
<u>TOTAL AMOUNT DUE:</u>				<u>\$480</u>

     Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$

  X   Check(s) in the amount of \$480 is attached. Please charge any deficiency or credit any overpayment to Deposit Account No. \_\_\_\_\_.

     The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. \_\_\_\_\_.

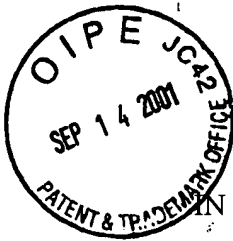
     Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).

     The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

     Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

By:

John J. Giobbi



Seedor  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John J. Giobbi

Serial No.: 09/750,487

Group Art Unit: 2131

Examiner: Unknown

Filed: December 27, 2000

Atty. Dkt. No.: MD-1

For: Digital Rights Management System and  
Method

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION FILED UNDER 37 C.F.R. § 1.53(b)**

**BOX MISSING PART**  
Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING**  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to:  
Assistant Commissioner for Patents, Box MISSING PARTS,  
Washington, DC 20231, on the date below:

Dear Commissioner:

9/11/01  
Date

Michael Blanton  
Signature

In response to the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 C.F.R. § 1.53(b), dated 02/20/2001, there are enclosed herewith:

- (a) Our check in the amount of \$480.00 to cover the basic filing fee for a small entity;
- (b) Our check in the amount of \$65.00 to cover the surcharge for a late filing fee for a small entity as set forth in 37 C.F.R. § 1.16(e);
- (c) A petition for an extension of time under 37 C.F.R. § 1.136(a), accompanied by our check in the amount of \$945.00 to cover the extension fee for a reply in the fifth month for a small entity as set forth in 37 C.F.R. § 1.17(a);
- (d) Substitute drawings in compliance with 37 C.F.R. § 1.84;
- (e) A copy of the Notice to File Missing Parts of Application-Filing Date Granted;
- (f) A copy of the Patent Application Transmittal Letter that appears to have been misplaced by the PTO. As noted on Transmittal Letter, Applicant is claiming small entity status under 37 C.F.R. § 1.27 and therefore all fees submitted herewith are for a small entity; and

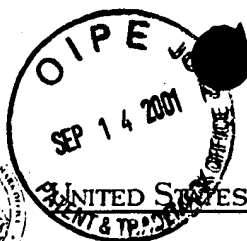
- (g) A copy of the Information Disclosure Statement, PTO Form-1449, and References filed with the application, in the event these documents have been misplaced as well.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Date: September 11, 2001



Michael J. Blankstein  
Reg. No. 37,097  
7358 New Washburn Way  
Madison, WI 53719  
608/273-4572  
Attorney for Applicant



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/750,487	12/27/2000	John J. Giobbi	

CONFIRMATION NO. 5898

## FORMALITIES LETTER



\*OC000000005780431\*

John J. Giobbi  
1980 Philips  
Northbrook, IL 60062

Date Mailed: 02/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/17/2001 BNGUYEN1 00000097 09750487

FILED UNDER 37 CFR 1.53(b)

02 FC:205	65.00 OP
03 FC:201	355.00 OP
04 FC:203	45.00 OP
05 FC:202	80.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$250.
  - \$90 for 5 total claims over 20.
  - \$160 for 2 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1090.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

*SM*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE